

PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: 36287-04404	SERIAL NO.: 10/723,264
	APPLICANTS: GRAY, et al.	
	FILING DATE: November 26, 2003	GROUP ART UNIT: TBA

U.S. PATENT DOCUMENTS

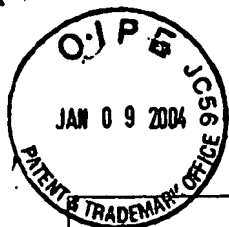
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

/ST/		Merrill Lynch & Co., Mandalay Resort Group, Floating Rate Convertible Senior Debentures due 2033; Offering Memorandum; 3/17/03
/ST/		Industry Issues Archive; SEC Adopts Proposals Regarding Exchanges and Alternative Trading Systems; http://www.ici.org/issues/mrkt/arc-sec/98_sec_ats_adopt.html ; 12/28/98
/ST/		Cowan, Arnold, R., Nayar, Nandkumar, Singh, Ajai K.; How high are investment banking fees? The case of standby underwritten convertible calls; 10/1999; http://www.bus.iastate.edu/arnie
/ST/		Affiliated Managers Group, Inc.; \$200,000,000 Floating Rate High premium and Warrant Convertible Securities due 2033; Offering Memorandum; LIBC Feb. 2003

/Scott Trotter/

11/06/2007



/ST/		Merrill Lynch & Co.; \$250,000,000 Affiliated Managers Group, Inc. Floating Rate Convertible Senior Debentures due 2033; Offering Memorandum; 2/19/03
/ST/		Hoadley, Peter; Options Strategy Analysis Tools; http://www.hoadley.net/options/bs.htm
/ST/		DerivativesStrategy; Delta Hedging Problems and Solutions; http://www.derivativesstrategy.com/magazine/archive/1998/0398coll1.asp
/ST/		Hauser, James L., Journal of Compensation and Benefits; The Stock Option Repricing Dilemma; November/December 2001
EXAMINER	/Scott Trotter/	DATE CONSIDERED 11/06/2007



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	FORM PTO-1449	ATTY. DOCKET NO.: 36287-04404	SERIAL NO.: 10/723,264
	APPLICANTS: GRAY, et al.		
	FILING DATE: November 26, 2003	GROUP ART UNIT: TBA	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/ST/	6,567,790 B1	5/20/03	Slane			12/1/99

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

/ST/		Applications of random walkers to Stock Pricing, www.math.mit.edu/stocker/18354.d/financial.pdf ; Spring 2004

/Scott Trotter/

11/06/2007

EXAMINER			DATE CONSIDERED